

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 7

901 NORTH FIFTH STREET  
KANSAS CITY, KANSAS 66101

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ENVIRONMENTAL PROTECTION  
AGENCY-REGION VII  
REGIONAL HEARING CLERK

BEFORE THE ADMINISTRATOR

IN THE MATTER OF )  
 ) Docket No. CWA 07-2010-0069  
 )  
THE CITY OF ROCKAWAY BEACH )  
MISSOURI )  
 )  
Respondent, ) CONSENT AGREEMENT AND  
 ) FINAL ORDER  
 )  
 )  
Proceedings under Section 309(g) of the )  
Clean Water Act, 33 U.S.C. § 1319(g) )

**I. PRELIMINARY STATEMENT**

The United States Environmental Protection Agency, Region 7 ("Complainant" or "EPA") and the City of Rockaway Beach, Missouri ("Respondent") have agreed to a settlement of this action before the filing of a complaint, and thus this action is simultaneously commenced and concluded pursuant to Rules 22.13(b) and 22.18(b)(2) of the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties and Issuance of Compliance or Corrective Action Orders, and the Revocation, Termination or Suspension of Permits (Consolidated Rules), 40 C.F.R. § 22.13(b), 40 C.F.R. § 22.18(b)(2), and in accordance with Subpart I of the Consolidated Rules (Administrative Proceedings Not Governed by Section 554 of the Administrative Procedures Act).

**II. COMPLAINT**

**Jurisdiction**

1. This Consent Agreement/Final Order ("CAFO") is being filed under the authority vested in the Administrator of EPA, pursuant to Section 309(g) of the Clean Water Act ("CWA"), 33 U.S.C. § 1319(g) and in accordance with the Consolidated Rules (Administrative Proceedings Not Governed by Section 554 of the Administrative Procedures Act), 40 C.F.R. Part 22, Subpart I.

2. This CAFO alleges that Respondent discharged pollutants into waters of the United States and otherwise failed to comply with its National Pollution Discharge Elimination System (“NPDES”) Permit, in violation of Sections 301 and 402 of the CWA, 33 U.S.C. §§ 1311 and 1342.

### Parties

3. Complainant is the Director of EPA Region 7’s Water, Wetlands and Pesticides Division who has been delegated the authority to enter into this CAFO from the Regional Administrator, EPA Region 7, who has been delegated the authority from the Administrator of EPA.

4. Respondent, the City of Rockaway Beach, Missouri; owns and operates a publicly owned treatment works (“POTW”). The POTW includes a wastewater treatment facility, located at 1000 Boys Camp Road in Rockaway Beach, Taney County, Missouri.

### Statutory and Regulatory Framework

5. Section 301 (a) of the CWA, 33 U.S.C. § 1311 (a), prohibits the discharge of pollutants, by any person except in compliance with, *inter alia*, Section 402 of the CWA, 33 U.S.C. § 1342. Section 402 provides that pollutants may be discharged only in accordance with the terms of a NPDES permit issued pursuant to that Section.

6. The CWA prohibits the discharge of “pollutants” from a “point source” into a “navigable water” of the United States, as these terms are defined by Section 502 of the CWA, 33 U.S.C. § 1362. Section 502 of the CWA states that “navigable waters” means the waters of the United States.

7. “Pollutant” is defined by Section 502(6) of the CWA, 33 U.S.C. § 1362(6) to include, *inter alia*, sewage, garbage sewage, sludge, biological materials, and industrial wastewater discharged to water.

8. “Point source” is defined by Section 502(14) of the CWA, 33 U.S.C. § 1362 (14) to include “any discernable, confined and discrete conveyance, including but not limited to, any pipe, ditch, channel, tunnel, conduit, well, discrete fissure, container, rolling stock, concentrated animal feeding operation, or vessel or other floating craft, from which pollutants are or may be discharged.”

9. “Waters of the United States” are defined in 40 C.F.R. § 122.2 to include intrastate rivers and streams and tributaries thereto.

10. To implement Sections 301 and 402 of the CWA, 33 U.S.C. §§ 1311 and 1342, EPA promulgated regulations codified at 40 C.F.R. § 122. Under 40 C.F.R.

§ 122.1, a NPDES permit is required for any point source that discharges or proposes to discharge into waters of the United States.

11. The Missouri Department of Natural Resources (“MDNR”) is the state agency with the authority to administer the federal NPDES program in Missouri pursuant to Section 402 of the CWA, 33 U.S.C. § 1342, implementing regulations, and a Memorandum of Understanding dated October 30, 1974. EPA maintains concurrent enforcement authority with authorized states for violations of the CWA.

### **Factual Background**

12. Respondent is a “person” as defined by Section 502(5) of the CWA, 33 U.S.C. § 1362(5).

13. At all times relevant to this action, Respondent was the owner, operator, or the Permit holder for a POTW which includes a wastewater treatment plant (“WWTP”), located at 1000 Boys Camp Road in Rockaway Beach, Taney County, Missouri. The WWTP services Rockaway Beach, Merriam Woods, Bull Creek and Taney County.

14. Respondent’s WWTP is a “point source” as defined by Section 502(14) of the CWA, 33 U.S.C. § 1362(14).

15. Respondent’s WWTP causes the “discharge of pollutants” as defined by Section 502(12) of the CWA, 33 U.S.C. § 1362(12).

16. Respondent discharges pollutants into Lake Taneycomo. Lake Taneycomo is a “navigable water” as defined by Section 502(7) of the CWA.

17. Respondent’s discharge of pollutants from its WWTP requires a permit issued pursuant to Section 402 of the CWA, 33 U.S.C. § 1342.

18. MDNR granted an NPDES Permit No. MO-01081624 to Respondent effective August 30, 2006, through August 29, 2011, for discharges from its WWTP into Lake Taneycomo.

19. Respondent’s NPDES permit includes, among other things, reporting requirements, sampling requirements, effluent limitations, and a compliance schedule.

20. Failure to comply with conditions of an NPDES permit is a violation of the CWA and its implementing regulations, 33 U.S.C. §§ 1311(a), 1342; 40 C.F.R. § 122.41(a).

21. On September 10-13, 2007, EPA performed an inspection of Respondent’s POTW, under the authority of Section 308(a) of the CWA, 33 U.S.C. § 1318(a). Based on information gathered through the inspection and records maintained by the State of

Missouri, EPA determined that Respondent was in violation of its NPDES Permit by failing to collect samples, failing to perform sampling in compliance with Missouri regulations at 10 C.S.R. Part 20, Chapter 9, and failing to submit reports to MDNR in accordance with the NPDES Standard Conditions and Special Conditions and allowing bypasses to occur.

22. On February 5, 2008, EPA issued a Findings of Violation and Order for Compliance to Respondent ("Compliance Order"), ordering it to perform certain enumerated activities to come into compliance with its NPDES Permit.

23. On August 10-12, 2009, EPA conducted a second inspection of Respondent's POTW, under the authority of Section 308(a) of the CWA, 33 U.S.C. § 1318(a). EPA found that Respondent remained in violation of the CWA in that Respondent had not submitted to MDNR and EPA reports that are required submittals under its Permit.

24. By failing to comply with the reporting and sampling requirements set forth in Respondent's NPDES Permit and allowing bypasses to occur, Respondent violated the terms and conditions of its NPDES Permit, and as such, violated Sections 301(a) and 402 of the CWA, 33 U.S.C. § 1311(a) and § 1342, and the implementing regulations.

#### Consent Agreement

25. Respondent neither admits nor denies the factual allegations set forth in this CAFO. Respondent admits the jurisdictional allegations of this CAFO and agrees not to contest EPA's jurisdiction in this proceeding or any subsequent proceeding to enforce the terms of the Final Order portion of this CAFO.

26. Respondent waives its right to a judicial or administrative hearing on any issue of fact or law set forth above, and its right to appeal the Final Order portion of this CAFO.

27. Respondent and Complainant agree to conciliate the matters set forth in this CAFO without the necessity of a formal hearing and agree to bear their own costs and attorney's fees incurred as a result of this action.

28. The undersigned representative of Respondent certifies that he or she is fully authorized to enter the terms and conditions of this CAFO and to execute and legally bind Respondent to it.

29. Nothing contained in the Final Order portion of this CAFO shall alter or otherwise affect Respondent's obligation to comply with all applicable federal, state, and local environmental statutes and regulations and applicable permits.

30. This CAFO addresses all civil and administrative claims for the CWA violations identified in the Complaint. Complainant reserves the right to take any enforcement action with respect to any other violations of the CWA or any other applicable law.

31. Respondent has a limited ability to pay a penalty but acknowledges that a penalty of Four Thousand Five Hundred Dollars (\$4,500) will not cause undue hardship.

32. Respondent agrees that, in settlement of the claims alleged in this CAFO, Respondent shall pay a penalty of Four Thousand Five Hundred Dollars (\$4,500) as set forth in Paragraph 1 of the Final Order.

33. Respondent understands that failure to pay any portion of the civil penalty on the date the same is due may result in the commencement of a civil action in Federal District Court to collect said penalty, along with interest thereon at the applicable statutory rate.

### **III. FINAL ORDER**

#### **Payment Procedures**

Pursuant to the authority of Section 309(g) of the CWA, 33 U.S.C. § 1319(g), and according to terms of this CAFO, IT IS HEREBY ORDERED THAT:

1. Respondent shall pay a civil penalty of Four Thousand Five Hundred Dollars and No Cents (\$4,500.00). The total civil penalty shall be paid within 30 days of the effective date of this CAFO.

2. Interest on late payment will be assessed at the annual rate established by the Secretary of the Treasury pursuant to 31 U.S.C. § 3717. The interest will be assessed from the due date through the date of payment. Failure to pay the civil penalty when due may result in the commencement of a civil action in Federal District Court to collect said penalty, together with costs and interest.

3. Payment of the penalty shall be by cashier or certified check made payable to the "United States Treasury" and remitted to:

U.S. Environmental Protection Agency  
Fines and Penalties  
Cincinnati Finance Center  
P.O. Box 979077  
St. Louis, Missouri 63197-9000

This payment shall reference docket number CWA-07-2010-0069.

Copies of the check shall be mailed to:

Audrey B. Asher  
Senior Counsel  
U.S. Environmental Protection Agency – Region 7  
901 North 5th Street  
Kansas City, Kansas 66101

and to

Kathy Robinson  
Regional Hearing Clerk  
U.S. Environmental Protection Agency - Region 7  
901 North 5th Street  
Kansas City, Kansas 66101.

4. No portion of the civil penalty or interest paid by Respondent pursuant to the requirements of this CAFO shall be claimed by Respondent as a deduction for federal, state, or local income tax purposes.

#### **Parties Bound**

5. This Final Order portion of this CAFO shall apply to and be binding upon Respondent and Respondent's agents, successors and/or assigns. Respondent shall ensure that all contractors, employees, consultants, firms or other persons or entities acting for Respondent with respect to matters included herein comply with the terms of this CAFO.

#### **General Provisions**

6. Notwithstanding any other provision of this CAFO, EPA reserves the right to enforce the terms of the Final Order portion of this CAFO by initiating a judicial or administrative action pursuant to Section 309 of the CWA, 33 U.S.C. § 1319, and to seek penalties against Respondent or to seek any other remedy allowed by law.

7. Complainant reserves the right to take enforcement action against Respondent for any future violations of the CWA and its implementing regulations and to enforce the terms and conditions of this CAFO.

8. This Order shall be entered and become effective only after the conclusion of the period of public notice and comment required pursuant to Section 309(g)(4) of the

CWA, 33 U.S.C. § 1319(g)(4), and 40 C.F.R. § 22.45. Unless otherwise stated, all time periods stated herein shall be calculated in calendar days from such date.

9. Respondent and Complainant shall bear their respective costs and attorney's fees.

10. The headings in this CAFO are for convenience of reference only and shall not affect interpretation of this CAFO.

COMPLAINANT:  
U.S. ENVIRONMENTAL PROTECTION  
AGENCY

3-11-10  
Date

*for Karen A. Howmoy*  
\_\_\_\_\_  
William A. Spratlin  
Director  
Water, Wetlands and Pesticides Division

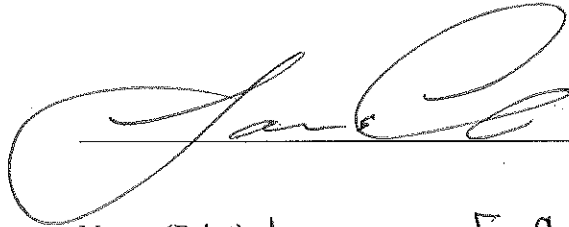
3/11/10  
Date

*Audrey B. Asher*  
\_\_\_\_\_  
Audrey B. Asher  
Senior Counsel



RESPONDENT:  
CITY OF ROCKAWAY BEACH, MISSOURI

03-09-10  
Date



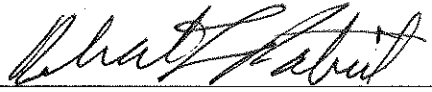
A handwritten signature in cursive script, appearing to read "Lawrence E. Cline", is written over a horizontal line.

Name (Print) Lawrence E. Cline

Title MAYOR

IT IS SO ORDERED.

This Final Order shall become effective upon date of signature of Regional Judicial Officer.



Robert Patrick  
Regional Judicial Officer

May 4, 2010  
Date

IN THE MATTER OF The City of Rockaway Beach Missouri, Respondent  
Docket No. CWA-07-2010-0069

CERTIFICATE OF SERVICE

I certify that a true and correct copy of the foregoing Consent Agreement and Final Order was sent this day in the following manner to the addressees:


Copy hand delivered to  
Attorney for Complainant:

Audrey B. Asher  
Senior Counsel  
Region 7  
United States Environmental Protection Agency  
901 N. 5<sup>th</sup> Street  
Kansas City, Kansas 66101

Copy by Certified Mail Return Receipt to:

The Honorable Lawrence E. Cline, Mayor  
City of Rockaway Beach  
P.O. Box 315  
Rockaway Beach, Missouri 65740

Dated: 5/4/10

  
Kathy Robinson  
Hearing Clerk, Region 7